



**SAURASHTRA
CAPITAL
BROKING
PVT.LTD.**

Member: BSE, NSE, & CDSIL

Formerly known: **SAURASHTRA CAPITAL SERVICE PVT. LTD.**

AML ALERT GENERATION POLICY:

- (a) Clients whose identity verification seems difficult or Clients that appear not to cooperate
- (b) Clients where the source of the funds is not clear or not in keeping with Clients apparent standing /business activity;
- (c) Clients based in high risk jurisdictions;
- (d) Substantial increases in business without apparent cause;
- (e) Clients transferring large sums of money to or from overseas locations with instructions for payment in cash;
- (f) Attempted transfer of investment proceeds to apparently unrelated third parties;
- (g) Unusual transactions undertaken by offshore banks/financial services, businesses reported to be in the nature of export import of small items.

Thanks and Regards,

SAURASHTRA CAPITAL BROKING PVT.LTD.

DIRECTOR

Last review dated : 30th September, 2025

39-Great Western Building Compound, Bake House lane, Fort, Mumbai-400 001

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CIN No: U65990 MH 1994 PTC 077691