



**SAURASHTRA
CAPITAL
BROKING
PVT.LTD.**

Member: BSE, NSE, & CDSIL

Formerly known: SAURASHTRA CAPITAL SERVICE PVT. LTD.

Dealing Room Policy

- 1] Dealers only with access to the dealing room shall be permitted to enter between 8:45 am to 4:00 pm.
- 2] Dealers shall not divulge or disclose any information of any trades executed/ or being executed to anyone. Top most secrecy shall be observed.
- 3] Strict confidentiality and due diligence are observed by the dealers while executing trade for the institutions.
- 4] Dealers shall be allowed to leave the dealing room in case of washroom break/ lunchtime break. If any emergency arises the dealers shall be required to take approval from the Compliance Officer/ Principal Officer /Designated Director in writing with appropriate reasons.
- 5] All telephone lines of the dealing room shall be recorded.
- 6] In case rules are not followed by the dealer's matter shall be referred to the Board of Director Or the Compliance Committee & necessary actions shall be taken.

Thanks and Regards,

SAURASHTRA CAPITAL BROKING PVT.LTD.

DIRECTOR

Last review dated : 30th September, 2025

39-Great Western Building Compound, Bake House lane, Fort, Mumbai-400 001

Tele No: - 022 4056 4056 URL: saurashtracapital.in Email: info@saurashtracapital.in

Back Office: 29-A 1st Floor, Madhu Estate, Pandurang Budhkar Marg, Next to IKEY, Worli, Mumbai - 400013

CIN No: U65990 MH 1994 PTC 077691