Application No. SCS- 5557

SAURASHTRA CAPITAL SERVICES PVT. LTD.

DEPOSITORY SERVICE*
A/C OPENING FORM

INDIVIDUAL / NRI / FOREIGN NATIONAL

CENTRAL DEPOSITORY SERVICES (INDIA) LTD.

Internal Ref. No. ______________________ Account No. 12029400

Branch Code and Name (________) Saurashtra Capital Services Pvt. Ltd. (Mumbai)

Name ______________________

Depository Account Opening Documents (AOD) - Checklist (Individual)

Name of the Doucument	Submitted	Not Required
Account Opening Form	☐	
Depository Agreement*	☐	
Specimen Signatures List	☐	
Debit Authorisation	☐	
Fax Indemnity (Optional) ☐	☐	
Photograph of Election ID Card/Passport/ Ration Card/PAN No./Bank Pass Book	☐	☐
Signed Photographs on Signature Cards	☐	
Date of Birth Certificate in case of minors	☐	
Proof of NRI Status	☐	☐
Copy of RBI Approval for NRI's	☐	☐

*On Rs. 100/- Stamp Paper

☐ Fax Indemnity on Rs. 200/- Stamp Paper

INSTRUCTIONS FOR APPLICANTS (INDIVIDUAL ACCOUNTS)

1. If the Clearing member is a Sole Proprietor or an Individual, then the Corporate Account Opening Form should be filled in.
2. Signatures can be in English, Hindi or any of the other languages contained in the 8th schedule of the Constitution of India. Thumb impressi and signatures other than the above mentioned languages must be attested by a Magistrate or a Notary Public or a Special Executive Magist under his/her official seal.
3. Signature should be preferably in black ink.
4. Details of the Names, Address and Tel No. e.t.c. of the Magistrate / Notary Public / Special Executive Magistrate are to be provided in case of attestation done by them.
5. In case of additional signatures. (For account other than individuals), separate annexures should be attached to the application form.
6. In case of applications under a Power of Attorney, the relevant Power of Attorney or the certified and duly notarised copy thereof, Name of t POA, Signature of the POA, must be lodged with the DP alongwith the application.
7. All correspondence / queries shall be addressed to the **First / Sole Applicant** only.
8. Suffix refers to **MR. X Junior** or **Mr. X Senior** or **Lord Y I or Lord Y** II etc.
9. Where the holder is a minor, person lawfully entitled to act on behalf of the minor should sign the nomination.
10. Strike off whichever is not applicable.
11. **The following documents are to be submitted by the Investors:-**
    - The Introduction is required for all the accounts opened as per SEBI regulations.
    - Any Two of the following documents determining the bonafides of the BO needs to be obtained by the DP Photocopy of Election ID Car / Passport / Ration Card / Bank Pass Book.
    - Date of Birth Certificate in case of Minors.
    - Copy of RBI Approval for NRIs.
    - Proof of NRI Status.
    - One passport size photograph of each Account Holder.

Detail entered in the system : Yes / No Date ____________

Intimation sent to Investor : Yes / No Date ____________

Name of the Officer : Signature ____________

CDSL - Your Depository

DP SEBI Reg. No. : IN-DP-CDSL-196-2002

DP ID No. : 29400

Saurashtra Capital Services Pvt. Ltd.
39, Great Western Building, 1st Floor,
Bake House Lane, Fort, Mumbai - 400 001.
Tel. No. : 4056 4056 • Fax : 4056 4000

Application Form for Opening a Securities Account

❑ Individual ❑ NRI ❑ Foreign National

Application No.	SCS 5557								Date
DP Internal Reference No.									
DP ID	1	2	0	2	9	4	0	0	Client ID

(To be filled by the applicant in **BLOCK LETTERS** in English)

I/We request you to open a Demat Account in my / our name as per the following details :-

Holders Details

Sole / First Holder's Name		PAN	
		UID	
Second Holder's Name		PAN	
		UID	
Third Holder's Name		PAN	
		UID	

First Holder Correspondence Address		**First Holder Permanent Address:**	
City	State	City	State
Country	Pin No.	Country	Pin No.
Telephone No.	Fax No.	Telephone No.	Fax No.
E-mail ID		E-mail ID	
Mobile No.		Mobile No.	
Second Holder's Address		**Third Holder's Address**	

Name *	

*In case of Firms, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the name of the natural persons, the name of the Firm, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., should be mentioned above.

Type of Account (Please tick whichever is applicable)

Status	Sub – Status
❑ Individual	❑ Individual Resident ❑ Individual Director's Relative ❑ Individual Promoter ❑ Individual Margin Trading A/C (MANTRA) ❑ Individual-Director ❑ Individual HUF / AOP ❑ Minor ❑ Others(specify) ________
❑ NRI	❑ NRI Repatriable ❑ NRI Repatriable Promoter ❑ NRI – Depository Receipts ❑ NRI Non-Repatriable ❑ NRI Non-Repatriable Promoter ❑ Others (specify) ________
❑ Foreign National	❑ Foreign National ❑ Foreign National - Depository Receipts ❑ Others (specify)________

Details of Guardian (in case the account holder is minor)

Guardian's Name		PAN	
Relationship with the applicant			

I / We instruct the DP to receive each and every credit in my / our account (If not marked, the default option would be 'Yes')	[Automatic Credit] ❑ Yes ❑ No
I / We would like to instruct the DP to accept all the pledge instructions in my /our account without any other further instruction from my/our end (If not marked, the default option would be 'No')	❑ Yes ❑ No
Account Statement Requirement	❑ As per SEBI Regulation ❑ Daily ❑ Weekly ❑Fortnightly ❑Monthly
I / We request you to send Electronic Transaction-cum-Holding Statement at the email ID ________	❑ Yes ❑ No
I / We would like to share the email ID with the RTA	❑ Yes ❑ No
I / We would like to receive the Annual Report ❑ Physical / ❑ Electronic / ❑ Both Physical and Electronic (Tick the applicable box. If not marked the default option would be in Physical)	

I/ We ~~Do you~~ wish to receive dividend / interest directly in to ~~your~~ my bank account as given below through ECS? (If not marked, the default option would be 'Yes') [ECS is mandatory for locations notified by SEBI from time to time]	❑ Yes ❑ No

Bank Details [Dividend Bank Details]

Bank Code (9 digit MICR code)					
IFS Code (11 character)					
Account number					
Account type	❑ Saving ❑ Current ❑ Others (specify) ________				
Bank Name					
Branch Name					
Bank Branch Address					
City		State		Country	PIN code

(i) Photocopy of the cancelled cheque having the name of the account holder where the cheque book is issued, (or)
(ii) Photocopy of the Bank Statement having name and address of the BO
(iii) Photocopy of the Passbook having name and address of the BO, (or)
(iv) Letter from the Bank.

> In case of options (ii), (iii) and (iv) above, MICR code of the branch should be present / mentioned on the document.

Other Details

Gross Annual Income Details	Income Range per annum: ❑ Up to Rs.1,00,000 ❑ Rs 1,00,000 to Rs 5,00,000 ❑ Rs 5,00,000 to ₹ 10,00,000 ❑ Rs 10,00,000 to Rs 25,00,000
	Net worth as on (Date) D D M M Y Y Y Y Rs
	[Net worth should not be older than 1 year]
Occupation	❑ Private / Public Sector ❑ Govt. Service ❑ Business ❑ Professional ❑ Agriculture ❑ Retired ❑ Housewife ❑ Student ❑ Others (Specify)
Please tick , if applicable:	❑Politically Exposed Person (PEP) ❑ Related to Politically Exposed Person (RPEP)
Any other information:	

SMS Alert Facility Refer to Terms & Conditions given as **Annexure - 2.4**	MOBILE NO. +91 __ __ __ __ __ __ __ __ __ __ [(Mandatory , if you are giving Power of Attorney (POA)] (If POA is not granted & you do not wish to avail of this facility, cancel this option).
Transactions Using Secured Texting Facility (**TRUST**). Refer to Terms and Conditions **Annexure – 2.6**	I wish to avail the TRUST facility using the Mobile number registered for SMS Alert Facility. I have read and understood the Terms and Conditions prescribed by CDSL for the same. ☐ Yes ☐ No I/We wish to register the following clearing member IDs under my/our below mentioned BO ID registered for TRUST
Easi	To register for *easi*, please visit our website www.cdslindia.com. *Easi* allows a BO to view his ISIN balances, transactions and value of the portfolio online.

Stock Exchange Name/ID	Clearing Member Name	Clearing Member ID (Optional)

Nomination Form

To,
The Depository Participant Name
Address

Dear Sir/Madam,

I/We the sole holder / Joint holders / Guardian (in case of minor) hereby declare that:

☐ I/We **do not wish to nominate any one for this demat account.**
[Strike out what is not applicable.] [Signatures of all account holders should be obtained on this form].

☐ I/We nominate the following person/s who is entitled to receive security balances lying in my/our account, particulars whereof are given below, in the event of the death of the Sole holder or the death of all the Joint Holders.

BO Account Details			
DP ID		Client ID	
Name of the Sole / First Holder			
Name of Second Holder			
Name of Third Holder			

Nomination Details	Nominee 1	Nominee 2	Nominee 3
Nominee Name: *First Name Middle Name: *Last Name			
*Address:			
City			
State			
*Pin:			
*Country:			
Telephone No.			
Fax No.			
Nomination Details			
PAN NoUID			
Email ID			
*Relationship with the BO			
Date of birth (mandatory if Nominee is a minor)			
Name of the Guardian of Nominee (if the nominee is minor) *First Name: Middle Name. *Last Name			
Address of the			

Guardian of nominee			
*City:			
*State:			
*Country:			
*Pin:			
Age			
Telephone:			
Fax No:			
Email ID:			
*Relationship of the Guardian with the Nominee			
*Percentage of allocation of securities:			
*Residual Securities [please tick any one nominee. If tick not marked default will be first nominee]:	☐	☐	☐

Note: Residual securities: incase of multiple nominees, please choose any one nominee who will be credited with residual securities remaining after distribution of securities as per percentage of allocation. If you fail to choose one such nominee, then the first nominee will be marked as nominee entitled for residual shares, if any.

*** Marked is Mandatory field**

This nomination shall supersede any prior nomination made by me / us and also any testamentary document executed by me/us.

Place .... Date ....

	First/Sole Holder	Second Holder	Third Holder
Name			
Signature			

Note : **One witness** shall attest signature/ Thumb impression

Details of the Witness	
	First Witness
Names of Witness	
Address of Witness	
Signature of Witness	

Most Important Terms and Conditions (MITC)

(For non-custodial settled trading accounts)

Annexure A

1. Your trading account has a "Unique Client Code" (UCC), different from your Demat account number. Do not allow anyone (including your own stock broker, their representatives and dealers) to trade in your trading account on their own without taking specific instruction from you for your trades. Do not share your internet / mobile trading login credentials with anyone else.
2. You are required to place collaterals as margins with the stock broker before you trade. The collateral can either be in the form of funds transfer into specified stock broker bank accounts or margin pledge of securities from your Demat account. The bank accounts are listed on the stock broker website. Please do not transfer funds into any other account. The stock broker is not permitted to accept any cash from you.
3. The stock broker's Risk Management Policy provides details about how the trading limits will be given to you, and the tariff sheet provides the charges that the stock broker will levy on you.
4. All securities purchased by you will be transferred to your Demat account within one working day of the pay-out. In case of securities purchased but not fully paid by you, the transfer of the same may be subject to limited period pledge i.e. seven trading days after the pay-out (CUSPA pledge) created in favour of the stock broker. You can view your Demat account balances directly at the website of the Depositories after creating a login.
5. The stock broker is obligated to deposit all funds received from you with any of the Clearing Corporations duly allocated in your name. The stock broker is further mandated to return excess funds as per applicable norms to you at the time of quarterly/monthly settlement. You can view the amounts allocated to you directly at the website of the Clearing Corporation(s).
6. You will get a contract note from the stock broker within 24 hours of the trade.
7. You may give a one-time Demat Debit and Pledge Instruction (DDPI) authority to your stock broker for limited access to your Demat account, including transferring securities, which are sold in your account for pay-in.
8. The stock broker is expected to know your financial status and monitor your accounts accordingly. Do share all financial information (e.g. income, net worth, etc.) with the stock broker as and when requested for. Kindly also keep your email Id and mobile phone details with the stock broker always updated.
9. In case of disputes with the stock broker, you can raise a grievance on the dedicated investor grievance ID of the stock broker. You can also approach the stock exchanges and/or SEBI directly.
10. Any assured/guaranteed/fixed returns schemes or any other schemes of similar nature are prohibited by law. You will not have any protection/recourse from SEBI/stock exchanges for participation in such schemes.

I / We Noted

	First/Sole Holder	Second Holder	Third Holder
Name			
Signature			

This is with reference to SEBI Circular No. SEBI/HO/MIRSD/MIRSD-POD-1/P/CIR/2023/180 Dated November 13, 2023 (NSE Circular No. NSE/INSP/59367 dated November 15, 2023& Exchange & Circular No. NSE/INSP/60147 dated January 05, 2024) (BSE Notice No. 20231115-1 Dated November 15, 2023 & Notice No. 20240105-38 Dated January 05, 2024) on "Most Important Terms & Conditions (MITC)".

Rights and Obligations of Beneficial Owner and Depository Participant as prescribed by SEBI and Depositories

General Clause

1. The Beneficial Owner and the Depository participant (DP) shall be bound by the provisions of the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996, Rules and Regulations of Securities and Exchange Board of India (SEBI), Circulars / Notifications / Guidelines issued there under, Bye Laws and Business Rules/Operating Instructions issued by the Depositories and relevant notifications of Government Authorities as may be in force from time to time.

2. The DP shall open/activate demat account of a beneficial owner in the depository system only after receipt of complete Account opening form, KYC and supporting documents as specified by SEBI from time to time.

Beneficial Owner information

3. The DP shall maintain all the details of the beneficial owner(s) as mentioned in the account opening form, supporting documents submitted by them and/or any other information pertaining to the beneficial owner confidentially and shall not disclose the same to any person except as required by any statutory, legal or regulatory authority in this regard.

4. The Beneficial Owner shall immediately notify the DP in writing, if there is any change in details provided in the account opening form as submitted to the DP at the time of opening the demat account or furnished to the DP from time to time.

Fees/Charges/Tariff

5. The Beneficial Owner shall pay such charges to the DP for the purpose of holding and transfer of securities in dematerialized form and for availing depository services as may be agreed to from time to time between the DP and the Beneficial Owner as set out in the Tariff Sheet provided by the DP. It may be informed to the Beneficial Owner that "*no charges are payable for opening of demat accounts*"

6. In case of Basic Services Demat Accounts, the DP shall adhere to the charge structure as laid down under the relevant SEBI and/or Depository circulars/directions/notifications issued from time to time.

7. The DP shall not increase any charges/tariff agreed upon unless it has given a notice in writing of not less than thirty days to the Beneficial Owner regarding the same.

Dematerialization

8. The Beneficial Owner shall have the right to get the securities, which have been admitted on the Depositories, dematerialized in the form and manner laid down under the Bye Laws, Business Rules and Operating Instructions of the depositories.

Separate Accounts

9. The DP shall open separate accounts in the name of each of the beneficial owners and securities of each beneficial owner shall be segregated and shall not be mixed up with the securities of other beneficial owners and/or DP's own securities held in dematerialized form.

10. The DP shall not facilitate the Beneficial Owner to create or permit any pledge and /or hypothecation or any other interest or encumbrance over all or any of such securities submitted for dematerialization and/or held in demat account except in the form and manner prescribed in the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996 and Bye-Laws/Operating Instructions/Business Rules of the Depositories.

Transfer of Securities

11. The DP shall effect transfer to and from the demat accounts of the Beneficial Owner only on the basis of an order, instruction, direction or mandate duly authorized by the Beneficial Owner and the DP shall maintain the original documents and the audit trail of such authorizations.

12. The Beneficial Owner reserves the right to give standing instructions with regard to the crediting of securities in his demat account and the DP shall act according to such instructions.

Statement of account

13. The DP shall provide statements of accounts to the beneficial owner in such form and manner and at such time as agreed with the Beneficial Owner and as specified by SEBI/depository in this regard.

14. However, if there is no transaction in the demat account, or if the balance has become Nil during the year, the DP shall send one physical statement of holding annually to such BOs and shall resume sending the transaction statement as and when there is a transaction in the account.

15. The DP may provide the services of issuing the statement of demat accounts in an electronic mode if the Beneficial Owner so desires. The DP will furnish to the Beneficial Owner the statement of demat accounts under its digital signature, as governed under the Information Technology Act, 2000. However if the DP does not have the facility of providing the statement of demat account in the electronic mode, then the Participant shall be obliged to forward the statement of demat accounts in physical form.

16. In case of Basic Services Demat Accounts, the DP shall send the transaction statements as mandated by SEBI and/or Depository from time to time.

Manner of Closure of Demat account

17. The DP shall have the right to close the demat account of the Beneficial Owner, for any reasons whatsoever, provided the DP has given a notice in writing of not less than thirty days to the Beneficial Owner as well as to the Depository. Similarly, the Beneficial Owner shall have the right to close his/her demat account held with the DP provided no charges are payable by him/her to the DP. In such an event, the Beneficial Owner shall specify whether the balances in their demat account should be transferred to another demat account of the Beneficial Owner held with another DP or to rematerialize the security balances held.

18. Based on the instructions of the Beneficial Owner, the DP shall initiate the procedure for transferring such security balances or rematerialize such security balances within a period of thirty days as per procedure specified from time to time by the depository. Provided further, closure of demat account shall not affect the rights, liabilities and obligations of either the

Beneficial Owner or the DP and shall continue to bind the parties to their satisfactory completion.

Default in payment of charges

19. In event of Beneficial Owner committing a default in the payment of any amount provided in Clause 5 & 6 within a period of thirty days from the date of demand, without prejudice to the right of the DP to close the demat account of the Beneficial Owner, the DP may charge interest at a rate as specified by the Depository from time to time for the period of such default.

20. In case the Beneficial Owner has failed to make the payment of any of the amounts as provided in Clause 5&6 specified above, the DP after giving two days notice to the Beneficial Owner shall have the right to stop processing of instructions of the Beneficial Owner till such time he makes the payment along with interest, if any.

Liability of the Depository

21. As per Section 16 of Depositories Act, 1996,
    1. Without prejudice to the provisions of any other law for the time being in force, any loss caused to the beneficial owner due to the negligence of the depository or the participant, the depository shall indemnify such beneficial owner.
    2. Where the loss due to the negligence of the participant under Clause (1) above, is indemnified by the depository, the depository shall have the right to recover the same from such participant.

Freezing/ Defreezing of accounts

22. The Beneficial Owner may exercise the right to freeze/defreeze his/her demat account maintained with the DP in accordance with the procedure and subject to the restrictions laid down under the Bye Laws and Business Rules/Operating Instructions.

23. The DP or the Depository shall have the right to freeze/defreeze the accounts of the Beneficial Owners on receipt of instructions received from any regulator or court or any statutory authority.

Redressal of Investor grievance

24. The DP shall redress all grievances of the Beneficial Owner against the DP within a period of thirty days from the date of receipt of the complaint.

Authorized representative

25. If the Beneficial Owner is a body corporate or a legal entity, it shall, along with the account opening form, furnish to the DP, a list of officials authorized by it, who shall represent and interact on its behalf with the Participant. Any change in such list including additions, deletions or alterations thereto shall be forthwith communicated to the Participant.

Law and Jurisdiction

26. In addition to the specific rights set out in this document, the DP and the Beneficial owner shall be entitled to exercise any other rights which the DP or the Beneficial Owner may have

under the Rules, Bye Laws and Regulations of the respective Depository in which the demat account is opened and circulars/notices issued there under or Rules and Regulations of SEBI.

27. The provisions of this document shall always be subject to Government notification, any rules, regulations, guidelines and circulars/ notices issued by SEBI and Rules, Regulations and Bye-laws of the relevant Depository, where the Beneficial Owner maintains his/ her account, that may be in force from time to time.

28. The Beneficial Owner and the DP shall abide by the arbitration and conciliation procedure prescribed under the Bye-laws of the depository and that such procedure shall be applicable to any disputes between the DP and the Beneficial Owner.

29. Words and expressions which are used in this document but which are not defined herein shall unless the context otherwise requires, have the same meanings as assigned thereto in the Rules, Bye-laws and Regulations and circulars/notices issued there under by the depository and /or SEBI

30. **Any changes in the rights and obligations which are specified by SEBI/Depositories shall also be brought to the notice of the clients at once.**

31. **If the rights and obligations of the parties hereto are altered by virtue of change in Rules and regulations of SEBI or Bye-laws, Rules and Regulations of the relevant Depository, where the Beneficial Owner maintains his/her account, such changes shall be deemed to have been incorporated herein in modification of the rights and obligations of the parties mentioned in this document.**

ONLY HUF ACCOUNT

Sub : List of Family Member of ________________________ (HUF)

No.	Name	Relationship with Karta Karta/Self	Date of Birth	Age
1.				
2.				
3.				
4.				
5.				

Thanking You,

Yours faithfully,

____

(Signature with Stamp)

	First / Sole Holder	Second Joint Holder	Third Joint Holder
Name			
Signature	X X		
Passport size Photograph	(Please sign across the photograph)	(Please sign across the photograph)	(Please sign across the photograph)

(Signatures Should be preferably in black ink)

SPECIMEN SIGNATURES

Saurashtra Capital Services Pvt. Ltd.

Account No. | 1 | 2 | 0 | 2 | 9 | 4 | 0 | 0 | [redacted] | | | | | | | |

Name ______________________________

Specimen Signature of the mine/us(Preson/s) authorised by us are given below. (Please Sing in black Ink)

Name/s of Account Holders	Signature (s)* (OR Thumb Impressions (attested by Gazzetted Officer)
First Holder	1.
Second Holder	2.
Third Holder	3

Tel. No. for Correspondence ______________________________

Fax Indemnity ☐ Yes ☐ No.

Standing Instructions for Credit ☐ Yes ☐ No

Note : In case of additional signatures seprate annexures should be attached to the Application Form ** Signature in all the languages mentioned in the Eighth Schedule of the Constitution are accepted.

Annexure - 'A'

Terms And Conditions-cum-Registration / Modification Form for receiving SMS Alerts from CDSL

Definitions:

In these Terms and Conditions the terms shall have following meaning unless indicated otherwise:

1. "Depository" means Central Depository Services (India) Limited a company incorporated in India under the Companies Act 1956 and having its registered office at 17th Floor, P.J. Towers, Dalal Street, Fort, Mumbai 400001 and all its branch offices and includes its successors and assigns.
2. 'DP' means Depository Participant of CDSL. The term covers all types of DPs who are allowed to open demat accounts for investors.
3. 'BO' means an entity that has opened a demat account with the depository. The term covers all types of demat accounts, which can be opened with a depository as specified by the depository from time to time.
4. SMS means "Short Messaging Service"
5. "Alerts" means a customized SMS sent to the BO over the said mobile phone number.
6. "Service Provider" means a cellular service provider(s) with whom the depository has entered / will be entering into an arrangement for providing the SMS alerts to the BO.
7. "Service" means the service of providing SMS alerts to the BO on best effort basis as per these terms and conditions.

Availability:

1. The service will be provided to the BO at his / her request and at the discretion of the depository. The service will be available to those accountholders who have provided their mobile numbers to the depository through their DP. The services may be discontinued for a specific period / indefinite period, with or without issuing any prior notice for the purpose of security reasons or system maintenance or for such other reasons as may be warranted. The depository may also discontinue the service at any time without giving prior notice for any reason whatsoever.
2. The service is currently available to the BOs who are residing in India.
3. The alerts will be provided to the BOs only if they remain within the range of the service provider's service area or within the range forming part of the roaming network of the service provider.
4. In case of joint accounts and non-individual accounts the service will be available, only to one mobile number i.e. to the mobile number as submitted at the time of registration / modification.
5. The BO is responsible for promptly intimating to the depository in the prescribed manner any change in mobile number, or loss of handset, on which the BO wants to receive the alerts from the depository. In case of change in mobile number not intimated to the depository, the SMS alerts will continue to be sent to the last registered mobile phone number. The BO agrees to indemnify the depository for any loss or damage suffered by it on account of SMS alerts sent on such mobile number.

Receiving Alerts:

1. The depository shall send the alerts to the mobile phone number provided by the BO while registering for the service or to any such number replaced and informed by the BO from time to time. Upon such registration / change, the depository shall make every effort to update the change in mobile number within a reasonable period of time. The depository shall not be responsible for any event of delay or loss of message in this regard.
2. The BO acknowledges that the alerts will be received only if the mobile phone is in 'ON' and in a mode to receive the SMS. If the mobile phone is in 'Off" mode i.e. unable to receive the alerts then the BO may not get / get after delay any alerts sent during such period.
3. The BO also acknowledges that the readability, accuracy and timeliness of providing the service depend on many factors including the infrastructure, connectivity of the service provider. The depository shall not be responsible for any non-delivery, delayed delivery or distortion of the alert in any way whatsoever.
4. The BO further acknowledges that the service provided to him is an additional facility provided for his convenience and is susceptible to error, omission and/ or inaccuracy. In case the BO observes any error in the information provided in the alert, the BO shall inform the depository and/ or the DP immediately in writing and the depository will make best possible efforts to rectify the error as early as possible. The BO shall not hold the depository liable for any loss, damages, etc. that may be incurred/ suffered by the BO on account of opting to avail SMS alerts facility.
5. The BO authorizes the depository to send any message such as promotional, greeting or any other message that the depository may consider appropriate, to the BO. The BO agrees to an ongoing confirmation for use of name, email address and mobile number for marketing offers between CDSL and any other entity.
6. **The BO agrees to inform the depository and DP in writing of any unauthorized debit to his BO account/ unauthorized transfer of securities from his BO account, immediately, which may come to his knowledge on receiving SMS alerts. The BO may send an email to CDSL at complaints@cdslindia.com. The BO is advised not to inform the service provider about any such unauthorized debit to/ transfer of securities from his BO account by sending a SMS back to the service provider as there is no reverse communication between the service provider and the depository.**
7. The information sent as an alert on the mobile phone number shall be deemed to have been received by the BO and the depository shall not be under any obligation to confirm the authenticity of the person(s) receiving the alert.
8. The depository will make best efforts to provide the service. The BO cannot hold the depository liable for non-availability of the service in any manner whatsoever.
9. If the BO finds that the information such as mobile number etc., has been changed with out proper authorization, the BO should immediately inform the DP in writing.

Fees:

Depository reserves the right to charge such fees from time to time as it deems fit for providing this service to the BO.

Disclaimer:

The depository shall make reasonable efforts to ensure that the BO's personal information is kept confidential. The depository does not warranty the confidentiality or security of the SMS alerts transmitted through a service provider. Further, the depository makes no warranty or representation of any kind in relation to the system and the network or their function or their performance or for any loss or damage whenever and howsoever suffered or incurred by the BO or by any person resulting from or in connection with availing of SMS alerts facility. The Depository gives no warranty with respect to the quality of the service provided by the service provider. The Depository will not be liable for any unauthorized use or access to the information and/ or SMS alert sent on the mobile phone number of the BO or for fraudulent, duplicate or erroneous use/ misuse of such information by any third person.

Liability and Indemnity:

The Depository shall not be liable for any breach of confidentiality by the service provider or by any third person due to unauthorized access to the information meant for the BO. In consideration of the depository providing the service, the BO agrees to indemnify and keep safe, harmless and indemnified the depository and its officials from any damages, claims, demands, proceedings, loss, cost, charges and expenses whatsoever which a depository may at any time incur, sustain, suffer or be put to as a consequence of or arising out of interference with or misuse, improper or fraudulent use of the service by the BO.

Amendments:

The depository may amend the terms and conditions at any time with or without giving any prior notice to the BOs. Any such amendments shall be binding on the BOs who are already registered as user of this service.

Governing Law and Jurisdiction:

Providing the Service as outlined above shall be governed by the laws of India and will be subject to the exclusive jurisdiction of the courts in Mumbai.

I/We wish to avail the SMS Alerts facility provided by the depository on my/our mobile number provided in the registration form subject to the terms and conditions mentioned below. **I/ We consent to CDSL providing to the service provider such information pertaining to account/transactions in my/our account as is necessary for the purposes of generating SMS Alerts by service provider, to be sent to the said mobile number.**

I/We have read and understood the terms and conditions mentioned above and agree to abide by them and any amendments thereto made by the depository from time to time. I/ we further undertake to pay fee/ charges as may be levied by the depository from time to time.

I / We further understand that the SMS alerts would be sent for a maximum four ISINs at a time. If more than four debits take place, the BOs would be required to take up the matter with their DP.

I/We am/ are aware that mere acceptance of the registration form does not imply in any way that the request has been accepted by the depository for providing the service.

I/We provide the following information for the purpose of REGISTRATION / MODIFICATION (Please cancel out what is not applicable).

BOID
(Please write your 8 digit DPID) | 1 | 2 | 0 | 2 | 9 | 4 | 0 | 0 | [redacted] | | | | | | |

(Please write your 8 digit Client ID)

Sole / First Holder's Name : ______________________

Second Holder's Name : ______________________

Third Holder's Name : ______________________

Mobile Number on which messages are to be sent +91 | | | | | | | | | | |

(Please write only the mobile number without prefixing country code or zero)

The mobile number is registered in the name of: ______________________

Email ID: ______________________

(Please write only ONE valid email ID on which communication; if any, is to be sent)

Signatures ______ Sole / First Holder ______ Second holder ______ Third Holder ______

Place: ________ Date: ________

Date : ____________

To,

SAURASHTRA CAPITAL SERVICES PVT. LTD.

36, GREAT WESTERN BUILDING COMPOUND,

1ST FLOOR, BAKE HOUSE LANE, FORT,

MUMBAI-400001.

Re: Beneficial Owner (BO) Account No. 12029400-____________________

I/We ____ [name(s) of the BO(s)]

had entered into agreement dated ____________ with you at the time of opening of the aforesaid BO account.

Pursuant to the amendment in Clause 3 of the agreement (Annexure C to the Bye Laws of CDSL), I/We confirm having opted to receive the statement of accounts pertaining to the above mentioned BO account in electronic mode in lieu of physical copy of the statement of account.

I/We confirm that the dispatch of statement of account to me/us at the following email address shall constitute full and absolute discharge of your obligation under the above agreement to provide me/us with statement of my/our BO account. But, I/we reserve my/our right to receive the physical copy of statement of accounts despite receiving the same in electronic mode, if such a demand is made in writing on you

[Email address: ____]

I/We confirm that any change in the aforesaid email address or any other instructions with regard to dispatch/ service of my/our statement of account on me/us shall not be binding upon you unless you are intimated in writing by me/us by acknowledged delivery

Yours faithfully,

	First/Sole Holder	Second Holder	Third Holder
Name			
Signature	x	x	x

<u>Duly stamped</u>

POWER OF ATTORNEY

To all to whom these presents shall come I/ we ____,
(name of the beneficial owner/s), residing at/ having its registered office at ____________________________
____
____, send greetings.

Whereas I / we hold beneficial owner account number 12029400 ____________ with Central Depository Services (India) Limited (CDSL), through SAURASHTRA CAPITAL SERVICES PVT.LTD.(name of the depository participant) registered with Securities and Exchange Board of India (SEBI) and bank account number ____ with ______________________ bank, ____________ branch.

And Whereas I/ we am/ are desirous to buy and sell securities through SAURASHTRA CAPITAL SERVICES PVT.LTD. (name of the stock broker) who is a stock broker registered with SEBI and member of recognized stock exchange/s.

And Whereas I / we am / are desirous of appointing SAURASHTRA CAPITAL SERVICES PVT.LTD. (name of the stock broker) as my/our constituted attorney to operate my/ our beneficial owner account and bank account on my/ our behalf for a limited purpose in the manner hereinafter appearing and subject to conditions as provided herein.

Now know you all and these presents witness that I / we do hereby nominate, constitute and appoint SAURASHTRA CAPITAL SERVICES PVT.LTD. (name of the stock broker) (hereinafter referred to as "the stock broker") as my true and lawful attorney and authorise it to perform the following functions on my behalf:

i. to transfer securities held in my/our aforementioned beneficial owner account(s) or any other account informed by me in writing to the stock broker from time to time to the demat account nos.**12029400** ____________________ of the stock broker maintained for the purpose of settlement of trades and margin obligations arising out of trades executed by me/us on any recognized stock exchange through the stock broker. However, the said power will be restricted to only transfer of securities to the Clearing Member ID allotted to the stock broker by any existing or future exchange that the stock broker has joined/ will join as a member or to any demat accounts linked to the said **Clearing Member ID 713 / M51126** provided that I/we have executed a Client Member Agreement with the stock broker for such exchanges.

ii. to transfer funds from my/our aforementioned bank account to CD - 008620100019635 (bank account number of the stock broker) of the stock broker with BANKOF INDIA bank, STOCK EXCHANGE branch only in the following circumstances:

   a. for meeting my/our settlement obligations/ margin requirements in connection with the trades executed by me/us the clients on the stock exchange through the stock broker;

   b. for recovering any outstanding amount due from me/us arising out of my/ our trading activities on the stock exchanges through the stock broker and/or depository activities through the depository participant;

c. For meeting obligations arising out of my subscribing to such other products/facilities/services through the stock broker like Mutual Funds, Public Issues (shares as well as debentures), rights, offer of shares in etc.

d. Towards monies/fees/charges, etc. due to the stock broker payable by virtue of my using/subscribing to any of the facilities/services availed by me at his/her instance.

iii. to pledge the securities in favor of the stock broker for the limited purpose of meeting my/our margin requirements in connection with the trades executed by me/us on any recognized stock exchange through the stock broker.

iv. to return to me/us, the securities or funds that may have been received by the stock broker erroneously or those securities or funds that the stock broker was not entitled to receive from me/us;

v. to send consolidated summary of my/our scrip-wise buy and sell positions taken with average rates to me/us by way of SMS/ email on a daily basis, notwithstanding any other document to be disseminated as specified by SEBI from time to time.

vi. To apply for various products like Mutual Funds, Public Issues (shares as well as debentures), rights, offer of shares, tendering shares in open offers etc pursuant to oral/written/electronic instructions given by me/us to the stock broker.

I/We ratify the instructions given by the aforesaid stock broker to the depository participant named hereinabove in the manner specified herein.

I/We further agree and confirm that the powers and authorities conferred by this Power of Attorney shall continue until it is revoked (without notice) .in witting by me and that the said revocation shall be effective from the date on which the revocation notice is received by the stock broker in his office at MUMBAI.

Address

Signed and delivered)
By the within named Beneficial Owner/s)
____________________________)
____________________________)
In the presence of)
____________________________)
____________________________)

I Accept
For - SAURASHTRA CAPITAL SERVICES PVT LTD

Director

____
Signature of the stock broker

(Name and Address of the stock broker)
SAURASHTRA CAPITAL SERVICES PVT LTD
39-GREAT WESTERN BUILDING
BAKE HOUSE LANE FORT
MUMBAI 400001

SAURASHTRA
CAPITAL
SERVICES
PVT. LTD.

DATE:-__________

TO,

____

Client Code: -________________ & BO ID:- **12029400** - __________________
Mumbai: -

Dear Sir or Madam,

Sub: - Auto Do (Pay-in) instructions in Demat for Rolling, And T2T Settlement

We request reference to your letter expressing your intention to participate in the above activity and authorizing company to directly pick-up shares from your account.

We will be uploading your Delivery Obligation file for generating Auto DO with effect from Rolling Sett.__________ and T2T Sett.No.__________.Please note the following:

Your monthly Bill will be pay by you within 30 days of Bill Date. If failure to Pay the Bill. Your Auto-Pay IN will be cancel from the **next 3 working days.**

You are requested to note that we are implementing Auto DO for the convenience of the client and **it will be the responsibility of the client to verify and ensure the correctness of their pay-in obligations as a matter of precaution**. We will not be responsible in any way for any pay-in not taking place or failure of any instructions. Kindly acknowledge the receipt.

Yours Faithfully,

For Saurashtra Capital Services Pvt. Ltd.

DIRECTOR ____________________

Saurashtra Capital Services Pvt. Ltd.

DEPOSITORY PARTICIPANT-CENTRAL DEPOSITORY SERVICES (I) LTD.

TARIFF STRUCTURE

Sr. No.	Particulars	Fees
1	Account Opening	Nil.
2	Transaction : Buy/Purchase Sale/Sold	Nil. 0.04%, Subject to min. Rs. 12/-
3	Custodian Charges	Nil.
4	Dematerialisation	Rs. 3/- per certificate
5	Rematerialisation	Rs. 18% GST
6	Annual Maintenance Charge (AMC)	Rs. 300/- (Individual) Rs. 800/- (Corporate)
7	Instruction Slip Book Charges	
8	Service Tax Charges	18% GST
9	Instruction Failure Charges	

1. Courier Charges :
   a. Dematerialisation of Shares mailing Charges Rs. 25/- per mail (Local)
   b. Dematerialisation of Shares mailing Charges Rs. 35/- per mail (Outstation)
2. All the percentage in the above tariff would be applied on the value of transaction as computed by CDSL.
3. The above Charges are exclusive of service tax which is currently @ 18% GST and other levied by Government bodies / statutory from time to time.
4. Charges / Service standards are subject to revision at the company is sole discretion and as informed by circulars sent by ordinary post.
5. Transaction charges will be payable monthly. Any service not quoted above will be charged separately.
6. Operating instructions for the joint accounts must be signed by all the holders.
7. All instructions for transfer must be received at the DP **atleast 3.30p.m. for same day execution date & 5.00p.m. for next day execution date on the Pay in date Accepted only at client's risk.**
8. Tariff are subject to periodic review and may be changed by CDSL/SCSPL.
9. Demat Request Forms would be accepted against payment in cash.
10. **Cheque to be issued in favor of "SAURASHTRA CAPITAL SERVICES PVT. LTD.**

✍ ____________________ ✍ ________________ ✍ ____________________

(Signature of all Account Holders)